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## Beyond wavelets: New image representation paradigms

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### Abstract

It is by now a well-established fact that the usual two-dimensional tensor product wavelet bases are not optimal for representing images consisting of different regions of smoothly varying greyvalues, separated by smooth boundaries. The chapter starts with a discussion of this phenomenon from a nonlinear approximation point of view, and then proceeds to describe approaches that have been suggested as a remedy. The methods can be sorted roughly into two groups: Adaptive geometry-based approaches such as wedgelets and related constructions on one hand, and directional frames, such as curvelets or ridgelets, on the other. We discuss wedgelets and curvelets in more details, as representatives of the different branches. These systems are first described in the continuous setting, and their construction is motivated by a discussion of their nonlinear approximation properties. We then present digital implementations of the schemes. For wedgelets and related transforms, we present a new method which results in a significant speedup, in comparison to preexisting implementations. We also give a short description of the contourlet approach to discrete curvelets. In the last section, we present the results of nonlinear approximation experiments, comparing wedgelets, contourlets and wavelets, and comment on the potential of the new techniques for image coding.

## Introduction

It is by now a well-established fact that the usual two-dimensional multiresolution wavelets perform suboptimally when dealing with images of the cartoon class, i.e., images consisting of domains of smoothly varying greyvalues, separated by smooth boundaries. In this chapter we review some of the constructions that were proposed as a remedy to this problem. We focus on two constructions, *wedgelets* [14] and *curvelets* [5]. Both systems stand for larger classes of image representation schemes; let us just mention *ridgelets* [3], *beamlets* [15], *contourlets* [14, 13], *platelets* [26] and *surfllets* [6] as close relatives.

The chapter starts with a discussion of the failure of wavelet ONB's. The reason for expecting good approximation rates for cartoon-like images is the observation that here the information is basically contained in the edges. Thus, ideally, one expects that smoothness of the boundary should have a beneficial effect on approximation rates. However, the tensor product wavelets usually employed in image compression do not adapt to smooth boundaries, due to the isotropic scaling underlying the multiresolution scheme. The wedgelet scheme tries to overcome this by combining adaptive geometric partitioning of the image domain with local regression on the image segments. A wedgelet approximation is obtained by minimizing a functional that weighs model complexity (in the simplest possible case: the number of segments) against approximation error. By contrast, the curvelet approach can be understood as a directional filterbank, designed and sampled so as to ensure that the system adapts well to smooth edges (the key feature here turns out to be *hyperbolic scaling*) while at the same time providing a frame. Here nonlinear approximation is achieved by a simple truncation of the frame coefficients.

After a presentation of these constructions for the continuous setting, we then proceed with a description of methods for their digital implementation. We sketch a recently developed, particularly efficient implementation of the wedgelet scheme, as well as the contourlet approach to curvelet implementation, as proposed by Do and Vetterli.

In the last section, we present some numerical experiments to compare the nonlinear approximation behavior of the different schemes, and contrast the theoretical approximation results to the experiments. We close by commenting on the potential of wedgelets and curvelets for image coding. Clearly, the nonlinear approximation behavior of a scheme can only be used as a first indicator of its potential for image coding. The good approximation behavior of the new methods for *small* numbers of coefficients reflects their ability to pick out the salient geometric features of an image rather well, which could be a very useful property for hybrid approaches.

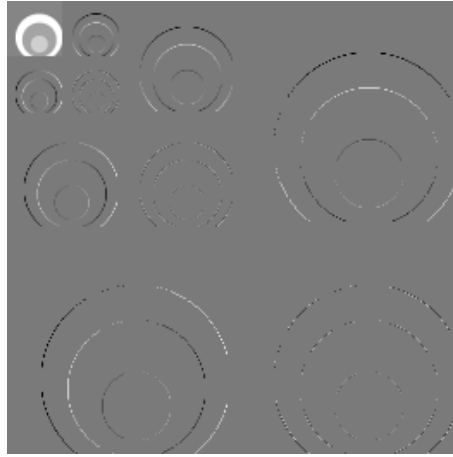


Figure 1.1: Wavelet coefficients of an image with smooth edges. The detail images are renormalized for better visibility.

### 1.1 The Problem and Some Proposed Solutions

Besides the existence of fast decomposition and reconstruction algorithms, the key feature that paved the way for wavelets is given by their ability to effectively represent discontinuities, at least for one dimensional signals. However, it has been observed that the tensor-product construction is not flexible enough to reproduce this behaviour in two dimensions. Before we give a more detailed analysis of this failure, let us give a heuristic argument based on the wavelet coefficients displayed in Figure 1.1. Illustrations like this are traditionally used to demonstrate how wavelets pick salient (edge) information out of images. However, it has been observed previously (e.g. [12]), that Figure 1.1 in fact reveals a weakness of wavelets rather than a strength, showing that wavelets detect isolated *edge points* rather than *edges*. The fact that the edge is smooth is not reflected adequately; at each scale  $j$  the number of significant coefficients is proportional to  $2^j$  times the length of the boundary, regardless of its smoothness.

A more quantitative description of this phenomenon can be given in terms of the *nonlinear approximation error*. Suppose we are given an orthonormal basis  $(\psi_\lambda)_{\lambda \in \Lambda}$  of a Hilbert space of (one- or two-dimensional) signals, for a suitable index set  $\Lambda$ . For a signal  $f$  and  $N \geq 0$  we let  $\epsilon_N(f)$  denote the smallest possible squared error that can be achieved by approx-

imating  $f$  by a linear approximation of (at most)  $N$  basis elements, i.e.

$$\begin{aligned} \epsilon_N(f) = \inf \{ & \|f - \sum_{\lambda \in \Lambda'} \alpha_\lambda \psi_\lambda\|^2 \\ & : \Lambda' \subset \Lambda \text{ with } |\Lambda'| = N, (\alpha_\lambda) \in \mathbb{C}^\Lambda \} . \end{aligned}$$

The study of the nonlinear approximation error can be seen as a precursor to rate-distortion analysis. Since we started with an orthonormal basis, the approximation error is easily computed from the expansion coefficients  $(\langle f, \psi_\lambda \rangle)_{\lambda \in \Lambda}$ , by the following procedure: Reindex the coefficients to obtain a sequence  $(\theta_m)_{m \in \mathbb{N}}$  of numbers with decreasing modulus. Then the Parseval relation associated to the orthonormal basis yields

$$\epsilon_N(f) = \sum_{m=N+1}^{\infty} |\theta_m|^2 . \quad (1.1)$$

Let us now compare the approximation behaviour of one- and two-dimensional wavelet systems. We only give a short sketch of the argument, which has the purpose to give a closer description of the dilemma surrounding two-dimensional wavelets, and to motivate the constructions designed as remedies. Generally speaking, the mathematics in this paper will be held on an informal level.

Let  $f : [0, 1] \rightarrow \mathbb{R}$  be a bounded function that is piecewise  $n$ -times continuously differentiable, say outside a finite set  $S \subset [0, 1]$  of singularities, and let  $(\psi_{j,k})_{j \geq 0, k \in \mathbb{Z}}$  be a wavelet orthonormal basis consisting of compactly supported functions with  $n - 1$  vanishing moments. Then on each dyadic level  $j$ , corresponding to scale  $2^{-j}$ , the number of positions  $k$  such that the support of  $\psi_{j,k}$  contains a singularity of  $f$  is fixed (independent of  $j$ ), and for these coefficients we can estimate

$$|\langle f, \psi_{j,k} \rangle| \leq \|f\|_\infty \|\psi_{j,k}\|_1 = C 2^{-j/2} .$$

For the remaining  $k$ , an  $n$ -term Taylor expansion of  $f$  together with the vanishing moments of  $\psi_{j,k}$  allows the estimate

$$|\langle f, \psi_{j,k} \rangle| \leq C 2^{-j(n+1/2)} .$$

Observe that there are  $O(2^j)$  such candidates, whereas the singularities contribute a fixed number for each scale. Hence, sorting the coefficients by size, we obtain the estimate  $|\theta_m| \leq C m^{-n-1/2}$ , which can be plugged into (1.1) to yield  $\epsilon_N(f) \leq C N^{-2n}$ .

The constructions presented in this chapter are to a large extent motivated by the desire to achieve a similar behaviour in two dimensions. First,

however, we need to define the analogue of piecewise  $C^n$ . Our image domain is the square  $[0, 1]^2$ . We call an image  $f : [0, 1]^2 \rightarrow \mathbb{R}$  *piecewise smooth* if it is of the form

$$f(x) = f_1(x) + \mathbf{1}_\Omega(x)f_2(x) \quad . \quad (1.2)$$

Here  $\mathbf{1}_\Omega$  is the indicator function of a compact subset  $\Omega \subset [0, 1]^2$  with a boundary  $\partial\Omega$  that is  $C^2$ , by which we mean that there is a twice continuously differentiable parametrization of  $\partial\Omega$ . The functions  $f_1$  and  $f_2$  belong to suitable classes of smooth functions, that may depend on the setting. For the case that both  $f_1$  and  $f_2$  are  $C^2$  as well, there exist theoretical estimates which yield that generally the optimal approximation rate will be of  $O(N^{-2})$  [7].

We are going to show that wavelet bases fall short of this. For the following discussion, it suffices to assume that  $f_1$  and  $f_2$  are in fact constant. Observe that the estimates given below can be verified directly for the two-dimensional Haar wavelet basis and the special case that  $f_1 = 1$ ,  $f_2 = 0$ , and  $\Omega$  is the subset of  $[0, 1]$  below the diagonal  $x = y$ . This is a particularly simple example, where the pieces  $f_1$  and  $f_2$  are  $C^\infty$ , the boundary is a straight line (hence  $C^\infty$ ) and not particularly ill-adapted to the tensor product setting, *and yet* wavelet bases show poor nonlinear approximation rates.

We pick a two-dimensional wavelet basis, constructed in the usual way from a one-dimensional multiresolution analysis [19], and want to describe the approximation error of  $f$  in this basis. Whenever a wavelet does not meet the boundary of  $\Omega$ , the smoothness of the functions  $f_1$ ,  $f_2$  entails that the wavelet coefficients can be estimated properly. The problems arise when we consider those wavelets that meet the boundary. As before, for each wavelet of scale  $2^{-j}$  meeting the boundary of  $\Omega$ ,

$$|\langle f, \psi_{j,k,l} \rangle| \sim 2^{-j} \quad ,$$

where we have now sharpened our observation to mean that there exists upper *and* lower estimates between the two sides, at least for sufficiently many coefficients. (This is easily seen for the example involving Haar wavelets and diagonal boundary.) Hence, the scale-dependent decay behaviour for the coefficients corresponding to singularities is better than in one dimension, but it holds for a crucially *larger* number of coefficients, which spoils the overall performance of the wavelet system. More precisely, as the supports of the wavelets are (roughly) squares of size  $\sim 2^{-j}$  shifted along the grid  $2^{-j}\mathbb{Z}^2$ , the number of wavelets at scale  $2^{-j}$  meeting the boundary is of  $O(2^j)$ . Thus we obtain  $|\theta_m| \sim m^{-1}$ , and this results in  $\epsilon_N(f) \sim N^{-1}$ .

A few observations are in order here: First, note that the arguments we present are indifferent to the smoothness of the boundary; for any boundary

of finite length we would obtain a similar behaviour. This is the blindness of wavelet tensor products to edge smoothness, that we already alluded to above: By construction, wavelets are only designed to represent discontinuities in the horizontal or vertical directions, and cannot be expected to detect connections between neighboring edge points. It should also be noted that the problem cannot be helped by increasing the number of vanishing moments of the wavelet systems. (Again, this can already be verified for the diagonal boundary case.)

In the following subsections, we describe recently developed schemes that were designed to improve on this, at least for the continuous setting. The digitization of these techniques will be the subject of Sections 1.2 and 1.3. The following remark contains a disclaimer that we feel to be necessary in connection with the transfer of notions and results from the continuous to the discrete domain.

**Remark 1.1.1** *In this paper we describe schemes that were originally designed for continuous image domains, together with certain techniques for digitization of these notions. In this respect, our paper reflects the current state of discussion. It is not at all trivial to decide how results concerning asymptotic behavior actually apply to the analysis and design of image approximation schemes for the discrete setting. Observe that all nonlinear approximation results describing the asymptotic behavior for images with bounded domain necessarily deal with small scale limits; for pixelized images, this limit is clearly irrelevant. Also, as we will encounter below, in particular in connection with the notion of angular resolution, the continuous setting may lead to heuristics that hardly make sense for digital images.*

*Note that the relevance of asymptotic results to coding applications is also not altogether clear: The asymptotic results describe the right end of the nonlinear approximation curves. Thus they describe how effectively the approximation scheme adds finer and finer details, for numbers of coefficients that are already large, which in compression language means high bit rate coding. By contrast, from the point of view of compression the left end of the nonlinear approximation curve is by far more interesting. As the approximation results in the final section of this chapter show, this is also where the new schemes show improved performance, somewhat contrary to the asymptotic results developed for the continuous setting.*

### 1.1.1 Wedgelets

Wedgelets were proposed by Donoho [14], as a means of approximating piecewise constant images with smooth boundaries. The wedgelet dictionary by definition is given by the characteristic functions of wedge-shaped

sets obtained by splitting dyadic squares along straight lines. Donoho proposed to consider the approximation by this particular dictionary. Lest the dictionary terminology leads readers astray, let us emphasize here that the approximation is not performed by popular dictionary-related algorithms such as matching pursuit, but rather driven by a certain functional, that depends on a regularization parameter.

For the description of wedgelets, let us first define the set of dyadic squares of size  $2^{-j}$ ,

$$\mathcal{Q}_j = \{[2^{-j}k : 2^{-j}(k+1)] \times [2^{-j}\ell : 2^{-j}(\ell+1)] : 0 \leq k, \ell < 2^j\} \quad ,$$

and  $\mathcal{Q} = \bigcup_{j=0}^{\infty} \mathcal{Q}_j$ . A **dyadic partition** of the image domain is given by any partition (tiling)  $Q$  of  $[0, 1]^2$  into *disjoint* dyadic squares, not necessarily of constant size. A **wedgelet partition** is obtained by splitting each element  $q \in Q$  of a dyadic partition  $Q$  into (at most) two *wedges*,  $q = w_1 \cup w_2$ , along a suitable straight line. The admissible lines used for splitting elements of  $\mathcal{Q}_j$  are restricted to belong to certain prescribed sets  $L_j$ ; we will comment on the choice of lines below. A **wedgelet segmentation** is a pair  $(g, W)$  consisting of a wedge partition  $W$ , and a function  $g$  that is constant on all  $w \in W$ . See Figure 1.2 for an example.

A *wedgelet approximation* to an image  $f$  is now given as the minimizer of the functional

$$H_{\lambda, f}(g, W) = \|f - g\|_2^2 + \lambda|W| \quad , \quad (1.3)$$

over all admissible wedgelet segmentations  $(g, W)$ . Here  $\lambda$  acts as a *regularization* or *scale* parameter: For  $\lambda = 0$ , the minimization algorithm will return the data  $f$ , whereas  $\lambda \rightarrow \infty$  will eventually produce a constant image as minimizer. We denote the minimizer of  $H_{\lambda, f}$  as  $(\widehat{g}_\lambda, \widehat{W}_\lambda)$ . The following remark collects the key properties that motivate the choice of wedgelets and the associated functional.

**Remark 1.1.2 (1)** *Given the optimal partition  $\widehat{W}_\lambda$ , the optimal  $\widehat{g}_\lambda$  is found by a simple projection procedure: For each  $w \in \widehat{W}_\lambda$ ,  $\widehat{g}_\lambda|_w$  is simply the mean value of  $g$  over  $w$ . Hence finding the optimal wedgelet segmentation is the same as finding the optimal partition.*

**(2)** *Dyadic partitions are naturally related to quadrees. More precisely, given a dyadic partition  $W$ , consider the set  $V$  of all dyadic squares  $q$  such that there exists  $p \in W$  with  $p \subset q$ . The inclusion relation induces a quadtree structure on  $V$ , and  $W$  is just the set of leaves in  $V$ . The quadtree structure is the basis for a fast algorithm for the computation of the optimal wedgelet segmentation  $W_\lambda$ , by recursive application of the following principle: Let  $[0, 1]^2 = q_1 \cup q_2 \cup q_3 \cup q_4$  be the decomposition into the four smaller dyadic squares. Then, for a fixed parameter  $\lambda$ , three cases may occur:*

1.  $\widehat{W}_\lambda = \{[0, 1]^2\}$  ;
2.  $\widehat{W}_\lambda$  is obtained by a wedgesplit applied to  $[0, 1]^2$  ;
3.  $\widehat{W}_\lambda = \bigcup_{i=1}^4 \widehat{V}_\lambda^i$ , where each  $\widehat{V}_\lambda^i$  is the optimal wedgelet segmentation of  $q_i$  associated to the restriction of  $f$  to  $q_i$ , and to the regularization parameter  $\lambda$ .

Note that for a fixed  $\lambda$  with minimizer  $(\widehat{g}_\lambda, \widehat{W}_\lambda)$ ,  $\widehat{g}_\lambda$  is the minimizer of the norm distance  $\|f - g\|_2^2$  among all admissible wedgelet segmentations  $(g, W)$  with at most  $N = |\widehat{W}_\lambda|$  wedges. This observation will be used as the basis for the computation of nonlinear approximation rates.

Let us next consider the nonlinear approximation behaviour of the scheme. The following technical lemma counts the dyadic squares meeting the boundary. Somewhat surprisingly, the induced dyadic partition grows at the same speed.

**Lemma 1.1.3** *Let  $f$  be piecewise constant, with  $C^2$  boundary  $\partial\Omega$ . Let  $\mathcal{Q}_j(f)$  denote the set of dyadic square  $q \in \mathcal{Q}_j$  meeting  $\partial\Omega$ . Then, there exists a constant  $C$  such that  $|\mathcal{Q}_j(f)| \leq 2^j C$  holds for all  $j \geq 1$ . Moreover, for each  $j$  there exists a dyadic partition  $W_j$  of  $[0, 1]^2$  containing  $\mathcal{Q}_j(f)$ , with  $|W_j| \leq 3C2^j$ .*

**Proof.** The statement concerning  $\mathcal{Q}_j(f)$  is straightforward from a Taylor approximation of the boundary. The dyadic partition  $W_j$  is obtained inductively:  $W_{j+1}$  is obtained by replacing each dyadic square in  $\mathcal{Q}_j(f)$  by the four dyadic squares of the next scale. Thus

$$|W_{j+1}| = |W_j| - |\mathcal{Q}_j(f)| + 4|\mathcal{Q}_j(f)| = |W_j| + 3|\mathcal{Q}_j(f)| .$$

Thus an easy induction shows the claim on  $|W_j|$ .  $\square$

We obtain the following approximation result. The statement is in spirit quite close to the results in [14], except that we use a different notion of resolution for the wedgelets, which is closer to our treatment of the digital case later on.

**Theorem 1.1.4** *Let  $f$  be piecewise constant with  $C^2$  boundary. Assume that the set  $L_j$  consists of all lines taking the angles  $\{-\pi/2 + -2^{-j}\ell\pi : 0 \leq \ell < 2^j\}$ . Then the nonlinear wedgelet approximation rate for  $f$  is  $O(N^{-2})$ , meaning that for  $N \in \mathbb{N}$  there exists a wedgelet segmentation  $(g, W)$  with  $|W| \leq N$  and  $\|f - g\|_2^2 \leq CN^{-2}$ .*

**Proof.** For  $N = 2^j$ , the previous lemma provides a dyadic partition  $W_j$  into  $O(N)$  dyadic squares, such that the boundary is covered by the elements of  $\mathcal{Q}_j \cap W_j$ . Observe that only those dyadic squares contribute to the



squared approximation error. In each such square, a Taylor approximation argument shows that the boundary can be approximated by a straight line in  $O(2^{-2j})$  precision. The required angular resolution allows to approximate the optimal straight line by a line from  $L_j$  up to the same order of precision. Now the incurred squared  $L^2$ -error is of order  $O(2^{-3j})$ ; the additional  $O(2^{-j})$  factor is due to the diameter of the dyadic square. Summing over the  $O(2^j)$  squares yields the result.  $\square$

We note that the theorem requires that the number of angles increases as the scale goes to zero; the *angular resolution of  $L_j$*  scales linearly with  $2^j$ . Observe that this requirement does not make much sense as we move on to digital images. In fact, this is the first instance where we encounter the phenomenon that intuitions from the continuous model prove to be misleading in the discrete domain.

### 1.1.2 Curvelets

Curvelets are conceptually closer to wavelets. While wedgelet approximation relies on adaptive geometric segmentation of the image domain, curvelet approximation uses a *fixed* system of building blocks. A **curvelet system** is a family of functions  $\gamma_{j,l,k}$  indexed by a scale parameter  $j$ , a position parameter  $k \in \mathbb{R}^2$ , and an orientation parameter  $l$ , yielding a tight frame of the image space. Thus, image approximation is performed by expanding the input in the curvelet frame and quantizing the coefficients, just as in the wavelet setting. However, the effectiveness of the approximation scheme critically depends on the *type of scaling*, and the sampling of the various parameters. Unlike the classical construction of 2D wavelets in the group-theoretically defined continuous wavelets, as introduced by Antoine and Murenzi [1], which also incorporate scale, position and orientation parameters, the scaling used in the construction of curvelets is *anisotropic*, resulting in atoms that are increasingly more needle-like in shape as the scale decreases.

Let us now delve into the definition of curvelets. The following construction is taken from [5], which describes the most recent generation of curvelets. A precursor was described in [4] ("curvelets 99" in the terminology of [5]), which has a more complicated structure, relying on additional windowing and the ridgelet transform. A comparison of the two types of curvelets is contained in [5]. Both constructions are different realizations of a core idea which may be summarized by the catchphrase that the curvelet system corresponds to a *critically sampled, multiscale directional filterbank, with angular resolution behaving like  $1/\sqrt{\text{scale}}$* .

As the filterbank view suggests, curvelets are most conveniently constructed on the frequency side. The basic idea is to cut the frequency

plane into subsets that are cylinders in polar coordinates. The cutting needs to be done in a smooth way however, in order to ensure that the resulting curvelets are rapidly decreasing.

For this purpose, we fix two window functions,

$$\nu : [-\pi, \pi] \rightarrow \mathbb{C} \quad , \quad w : \mathbb{R}^+ \rightarrow \mathbb{C} \quad .$$

Both  $\nu$  and  $w$  are assumed smooth; for  $\nu$  we require that its  $2\pi$ -periodic extension  $\nu_{per}$  is smooth as well. In addition, we pick  $w$  to be compactly supported.  $\nu$  acts as angular window; in order to guarantee that the functions constructed from  $\nu$  are even. Moreover, we impose that  $\nu$  fulfills (for almost every  $\vartheta$ )

$$|\nu_{per}(\vartheta)|^2 + |\nu_{per}(\vartheta - \pi)|^2 = 1 \quad , \quad (1.4)$$

which guarantees that the design of curvelets later on covers the full range of angles. Equation (1.4) allows to construct partitions of unity of the angular domain into a dyadic scale of elements: Defining  $\nu_{j,l} = \nu(2^j\vartheta - \pi l)$ , for  $l = 0, \dots, 2^j - 1$ , it is easily verified that

$$\sum_{l=0}^{2^j-1} |\nu_{j,l}(\theta)|^2 + |\nu_{j,l}(\theta + \pi)|^2 = 1 \quad . \quad (1.5)$$

A similar decomposition is needed for the scale variable. Here we make the additional assumption that

$$|w_0(s)|^2 + \sum_{j=1}^{\infty} |w(2^j s)|^2 = 1 \quad , \quad (1.6)$$

for a suitable compactly supported  $C^\infty$ -function  $w_0$ .  $w$  should be thought of as a bump function concentrated in the interval  $[1, 2]$ .

In the following we will frequently appeal to polar coordinates, i.e., we will identify  $\xi \in \mathbb{R}^2 \setminus \{0\}$  with  $(|\xi|, \theta_\xi) \in \mathbb{R}^+ \times ]-\pi, \pi]$ . By abuse of notation, we let  $w(\xi) = w(|\xi|)$  and  $\nu(\xi) = \nu(\theta_\xi)$ , and likewise for the dilated versions.

The scale and angle windows allow a convenient control on the design of the curvelet system. The missing steps are now to exert this control to achieve the correct scale-dependent angular resolution, and to find the correct sampling grids (which shall depend on scale and orientation). For the first part, filtering with the scale windows  $(w_j)_{j \geq 0}$  splits a given signal into its frequency components corresponding to the annuli  $A_j = \{\xi \in \mathbb{R}^2 : 2^j \leq |\xi| < 2^{j+1}\}$ . In each such annulus, the number of angles should be of order  $2^{j/2}$ , by the above slogan. Thus we define the scale-angle window function  $\eta_{j,l}$ , for  $j \geq 1$  and  $0 \leq l \leq 2^{\lfloor j/2 \rfloor} - 1$ , on the Fourier side by

$$\widehat{\eta}_{j,l}(\xi) = w_j(|\xi|)(\nu_{2^{\lfloor j/2 \rfloor}, l}(\theta_\xi) + \nu_{2^{\lfloor j/2 \rfloor}, l}(\theta_\xi + \pi)) \quad . \quad (1.7)$$

In addition, we let  $\widehat{\eta}_{0,0} = w_0$  (observing the usual abuse of notation), which is responsible for collecting the low-frequency part of the image. Up to normalization and introduction of the translation parameter, the family  $(\eta_{j,l})_{j,l}$  is the curvelet system. By construction,  $\widehat{\eta}_{j,l}$  is a function that is concentrated in the two opposite wedges of frequencies

$$W_{j,l} = \{\xi \in \mathbb{R}^2 : 2^j \leq |\xi| \leq 2^{j+1}, \theta_\xi \text{ or } \theta_\xi + \pi \in [2^{-\lfloor j/2 \rfloor} l, 2^{-\lfloor j/2 \rfloor} (l+1)]\} ,$$

as is illustrated in Figure 1.3.

Now (1.6) and (1.5) implies for almost every  $\xi \in \mathbb{R}^2$  that

$$\sum_{j,l} |\widehat{\eta}_{j,l}(\xi)|^2 = 1 , \quad (1.8)$$

and standard arguments allow to conclude from this that convolution with the family  $(\eta_{j,l})_{j,l}$  conserves the  $L^2$ -norm of the image, i.e.

$$\|f\|_2^2 = \sum_{j,l} \|f * \eta_{j,l}\|_2^2 . \quad (1.9)$$

The definition of the **curvelet frame** is now obtained by critically sampling the isometric operator  $f \mapsto (f * \eta_{j,l})_{j,l}$ . The following theorem states the properties of the resulting system, see [5] for a proof.

**Theorem 1.1.5** *There exist frequency and scale windows  $\nu, w$ , normalization constants  $c_{j,l} > 0$  and sampling grids  $\Gamma_{j,l} \subset \mathbb{R}^2$  (for  $j \geq 0, l = 0, \dots, 2^{\lfloor j/2 \rfloor} - 1$ ) with the following properties: Define the index set*

$$\Lambda = \{(j, l, k) : j \geq 0, l = 0, \dots, 2^{\lfloor j/2 \rfloor}, k \in \Gamma_{j,l}\} .$$

Then the family  $(\gamma_\lambda)_{\lambda \in \Lambda}$ , defined by

$$\gamma_{j,l,k}(x) = c_{j,l} \eta_{j,l}(x - k)$$

is a normalized tight frame of  $L^2(\mathbb{R})$ , yielding an expansion

$$f = \sum_{\lambda \in \Lambda} \langle f, \gamma_\lambda \rangle \gamma_\lambda . \quad (1.10)$$

The following list collects some of the geometric features of the curvelet system:

1. The shift in the rotation parameter implies that  $\gamma_{j,l}(x) = \gamma_{j,0}(R_{\theta_{j,l}}x)$ , where  $\theta_{j,l} = \pi l 2^{-\lfloor j/2 \rfloor}$ , and  $R_\theta$  denotes the rotation matrix

$$R_\theta = \begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix} .$$

2.  $\widehat{\gamma}_{j,0,0}$  is essentially supported in a union of two rectangles of dimensions  $O(2^j \times 2^{\lfloor j/2 \rfloor})$ , and the associated sampling lattice can be chosen as  $\Gamma_{j,0} = \delta_{1,j}\mathbb{Z} \times \delta_{2,j}\mathbb{Z}$ , with  $\delta_{1,j} \sim 2^j$  and  $\delta_{2,j} \sim 2^{\lfloor j/2 \rfloor}$ . As could be expected from the previous observation,  $\Gamma_{j,l} = R_{\theta_{j,l}}\Gamma_{j,0}$ .
3. By the previous observation, the change of sampling lattices from  $j$  to  $j+2$  follows an *anisotropic scaling law*,

$$\Gamma_{j+2,0} \approx D\Gamma_{j,0}, \quad \text{where } D = \begin{pmatrix} 4 & 0 \\ 0 & 2 \end{pmatrix}$$

The discussion in [5] suggests that, at least conceptually, it is useful to think of all curvelets to be descended from the two basic curvelets  $\gamma_{1,0}$  and  $\gamma_{2,0}$ , by the relation  $\gamma_{j+2,0}(x) \approx \det(D)^{1/2}\gamma_{j,0}(Dx)$ .

4. Summarizing, the  $\gamma_{j,l}$  are a system of rapidly decreasing functions that oscillate at speed of order  $2^{\lfloor j/2 \rfloor}$ , primarily in the  $(\cos(\theta_{j,l}), \sin(\theta_{j,l}))$  direction. As  $j \rightarrow \infty$ , the essential support of  $\gamma_{j,l,0}$  scales like a rectangle of size  $2^{-j} \times 2^{-\lfloor j/2 \rfloor}$ , when viewed in the appropriate coordinate system.

The following theorem shows that up to a logarithmic factor the curvelet system yields the desired nonlinear approximation behaviour for piecewise  $C^2$  functions. One of the remarkable features of the theorem is that the approximation rate is already achieved by simple nonlinear truncation of (1.10). Observe that this is identical with best  $N$ -term approximation only for orthogonal bases; however, the curvelet system is only a tight frame, and cannot be expected to be an orthogonal basis.

**Theorem 1.1.6 ([5, Theorem 1.3])**

Let  $f$  be a piecewise  $C^2$  function with  $C^2$  boundary. For  $N \in \mathbb{N}$ , let  $\Lambda_N(f) \subset \Lambda$  denote the indices of the  $N$  largest coefficients. Then there exists a constant  $C > 0$  such that

$$\|f - \sum_{\lambda \in \Lambda_N} \langle f, \gamma_\lambda \rangle \gamma_\lambda\|_2^2 \leq CN^{-2}(\log N)^3. \quad (1.11)$$

For a detailed proof of the theorem we refer to [5]. In the following we present a shortened version of the heuristics given in [5]. They contrast nicely to the wavelet case discussed above, and motivate in particular the role of anisotropic scaling for the success of the curvelet scheme.

Suppose we are given a piecewise smooth image  $f$ , and a fixed scale index  $j$ . We start the argument by a geometric observation that motivates the use of anisotropic scaling and rotation: Recall from the wavelet

case that  $O(2^j)$  dyadic squares of size  $2^{-j}$  are needed to cover the edge. This time we consider a covering by rectangles of size  $2^{-\lfloor j/2 \rfloor} \times 2^{-j}$ , which may be arbitrarily rotated. Then a Taylor approximation of the boundary shows that this can be done by  $O(2^{j/2})$  such rectangles, which is a vital improvement over the wavelet case.

Next we want to obtain estimates for the scalar products  $\langle f, \gamma_{j,l,k} \rangle$ , depending on the position of the curvelet relative to the boundary. Recall that  $\gamma_{j,l,k}$  is a function that has elongated essential support of size  $2^{-\lfloor j/2 \rfloor} \times 2^{-j}$ , in the appropriately rotated coordinate system, and oscillates in the "short" direction.

Then there are basically three cases to consider, sketched in Figure 1.4:

1. *Tangential*: The essential support of  $\gamma_{j,l,k}$  is close in position and orientation to one of the covering boxes, i.e., it is tangent to the boundary.
2. *Transversal*: The essential support is close in position to one of the covering boxes, but not in orientation. Put differently, the support intersects the boundary at a significant angle.
3. *Disjoint*: The essential support does not intersect the boundary.

The argument rests on the intuition that only the tangential case yields significant coefficients. One readily expects that the disjoint case leads to negligible coefficients: the image is smooth away from the boundary, hence the oscillatory behavior of the curvelet will cause the scalar product to be small. By looking more closely at the *direction* of the oscillation, we can furthermore convince ourselves that the transversal case produces negligibly small coefficients as well: The predominant part of the essential support is contained in regions where  $f$  is smooth, and the oscillations across the short direction imply that this part contributes very little to the scalar product.

Thus we have successfully convinced ourselves that only the tangential case contributes significant coefficients. Here we apply the same type of estimate that we already used in the wavelet cases, i.e.

$$\langle f, \gamma_{j,l,k} \rangle \leq \|f\|_\infty \|\gamma_{j,k,l}\|_1 \leq C 2^{-3j/4} \quad ,$$

due to the choice of normalization coefficients  $c_{j,l}$ . Since there are  $O(2^{j/2})$  boxes, the sampling of the position and angle parameter in the curvelet system implies also  $O(2^{j/2})$  coefficients belonging to the tangential case. Ignoring the other coefficients, we therefore have produced –rather informal– evidence for the statement that the sorted coefficients obey the estimate

$$|\theta_m| \leq C m^{-3/2} \quad .$$

Now, using the fact that the operator

$$\ell^2(\Lambda) \ni (\alpha_\lambda)_{\lambda \in \Lambda} \mapsto \sum_{\lambda \in \Lambda} \alpha_\lambda \gamma_\lambda$$

is normdecreasing, as the adjoint of an isometry, we can finish the argument by the estimate

$$\|f - \sum_{\lambda \in \Lambda_N} \langle f, \gamma_\lambda \rangle \gamma_\lambda\|_2^2 = \left\| \sum_{\lambda \in \Lambda \setminus \Lambda_N} \langle f, \gamma_\lambda \rangle \gamma_\lambda \right\|_2^2 \leq \sum_{m=N+1}^{\infty} |\theta_m|^2 \leq CN^{-2} .$$

Observe that the logarithmic factor in the statement of Theorem 1.1.6 has disappeared in the course of the argument. This is just an indicator of the degree of oversimplification of the presentation.

**Remark 1.1.7** *We close the section by citing another observation from [5], which allows a neat classification of wavelet, curvelet and ridgelet schemes by means of their angular resolution: Wavelets have a constant angular resolution, for curvelets the angular resolution behaves like  $1/\sqrt{\text{scale}}$ , for ridgelets like  $1/\text{scale}$ .*

### 1.1.3 Alternative approaches

Beside the two methods described in the previous subsections, various recent models were developed from different heuristic principles for the information content of natural images. It is outside the scope of this paper to describe all of them in detail; in the following we briefly sketch some of the more prominent approaches.

Among the interesting methods for the representation we can cite the bandelets [17], which makes use of redundancies in the geometric flow, corresponding to local directions of the image grey levels considered as a planar onedimensional field. The geometry of the image is summarized with local clustering of similar geometric vectors, the homogeneous areas being taken from a quadtree structure. A bandelet basis can be viewed as an adaptive wavelet basis, warped according to the locally selected direction. Bandelet decomposition achieves optimal approximation rates for  $C^\alpha$  functions. This method presents similarities with optimal wedgelet decompositions in that it uses geometric partitioning of the image domain, according to the minimization of a certain complexity-distortion functional. For instance, bandelets decomposition combined with a rate-distortion method, leads to a quite competitive compression scheme. It has been already applied successfully to a very specific case, the compression of ID photos; see the website [25].

Another possible modelling is based on the use of triangulations, which corresponds to a quite different philosophy. By their flexibility, adaptive irregular triangulations have very good approximation behavior. It can be shown that the optimal rates of approximation can be attained (see [17]) when we require that every conform triangulation is allowed for the representation. The main problem encountered by these methods is the sheer number of possible triangulations. In practice, especially for the purpose of implementation, one is forced to consider highly reduced triangulations classes, while still trying to obtain nearly optimal results.

To mention an example of such an approach, the method proposed in [9] uses a greedy removal of pixels, minimizing at each step the error among the possible triangulations. The class of triangulations under consideration is reduced to the set of Delaunay triangulations of a finite set of pixel positions, which allows a simplified parameterization, only using the point coordinates, without any connectivity information about the according triangulation. This fact is employed in a suited scattered data compression scheme. For natural images, the rate-distortion performances achieved are comparable with those obtained by wavelet methods, leading to very different kind of artefacts. In particular it avoids ringing artefacts, but smoothes textured areas.

An approach which is in a sense dual to the majority of the schemes described here are *brushlets*, introduced by Meyer and Coifman [20]. While most of the approaches we mentioned so far involve some form of spatial adaptation to the image content, brushlet approximations are based on the adaptive tiling of the frequency plane. As might be expected from this description, the experiments in [20] show that brushlets are quite well adapted to the representation of periodic textures, which shows that the brushlet approach is somewhat complementary to geometric approaches such as wedgelets. By construction, brushlets have trouble dealing with piecewise smooth images, which constitute the chief class of benchmark signals in this chapter. It is well-known that the Fourier transform is particularly ill-suited to dealing with piecewise smooth data. Hence any scheme that uses the Fourier transform of the image as primary source of information will encounter similar problems.

Finally, let us mention dictionary-based methods, usually employed in connection with pursuit algorithms. As most of the approaches described in this chapter are based more or less explicitly on redundant systems of building blocks, there are necessarily some similarities to dictionary-based methods. The use of highly redundant dictionaries for image representations is the subject of a separate chapter in this volume, to which we refer the interested reader.

## 1.2 Digital Wedgelets

Let us now turn to algorithms and discrete images. In this section we describe a digital implementation of Donoho's wedgelet algorithm. For notational convenience, we suppose the image domain to be  $\Omega = \{0, \dots, 2^J - 1\} \times \{0, \dots, 2^J - 1\}$ . In this setting, dyadic squares are sets of the type  $[2^j k, 2^j(k+1) - 1] \times [2^j \ell, 2^j(\ell+1) - 1]$ , with  $0 \leq k, \ell < 2^{J-j}$ . Our goal is to describe an efficient algorithm that for a given image  $f \in \mathbb{R}^\Omega$  computes a minimizer of

$$H_{\lambda, f}(g, W) = \|f - g\|_2^2 + \lambda|W| \quad , \quad (1.12)$$

where  $W$  is a wedge partition of  $\Omega$  and  $g$  is constant on each element of  $W$ . As in the continuous setting, wedge partitions are obtained from dyadic partitions by splitting dyadic squares along straight lines. It turns out that there are several options of defining these notions; already the digitization of lines is not as straightforward an issue as one might expect.

In the following, we use the definitions underlying our implementation, described in more detail in [10, 16]. Other digitizations of wedgelets can be used for the design of wedgelet algorithms, and to some extent, the following definitions are just included for the sake of concreteness. However, as we explain below, they also provide particularly fast algorithms.

We fix a finite set  $\Theta \subset ]-\pi/2, \pi/2]$  of *admissible angles*. The admissible discrete wedgelets are then obtained by splitting dyadic squares along lines meeting the  $x$ -axis at an angle  $\theta \in \Theta$ .

**Definition 1.2.1** *Let  $\theta \in ]-\pi/2, \pi/2]$  be given, and define  $v_\theta^\perp = (-\sin(\theta), \cos(\theta))$ . Moreover, let*

$$\delta = \max\{|\sin(\theta)|/2, |\cos(\theta)|/2\} \quad .$$

*The **digital line through the origin in direction**  $v_\theta$  is then defined as*

$$L_{0, \theta} = \{p \in \mathbb{Z}^2 : -\delta < \langle p, v_\theta^\perp \rangle \leq \delta\} \quad . \quad (1.13)$$

*Moreover, we define  $L_{n, \theta}$ , for  $n \in \mathbb{Z}$ , as*

$$L_{n, \theta} = \begin{cases} \{p + (n, 0) : p \in L_{0, \theta}\} & : |\theta| > \pi/4 \\ \{p + (0, n) : p \in L_{0, \theta}\} & : |\theta| \leq \pi/4 \end{cases} \quad .$$

In other words,  $L_{n, \theta}$  is obtained by shifting  $L_{0, \theta}$  by integer values in the vertical direction for **flat lines**, and by shifts in the horizontal direction for **steep lines**. In [10] we prove that the set  $(L_{n, \theta})_{n \in \mathbb{Z}}$  partition  $\mathbb{Z}^2$ , i.e.  $\mathbb{Z}^2 = \bigcup_{n \in \mathbb{Z}} L_{n, \theta}$ ; see also [16, Section 3.2.2].

Now we define the **discrete wedge splitting** of a square.



**Definition 1.2.2** Let  $q \subset \mathbb{Z}^2$  be a square, and for  $(n, \theta) \in \mathbb{Z}^2 \times [-\pi/2, \pi/2[$ , let  $L(q, \theta)$  denote the set of discrete lines  $L_{n, \theta}$  such that  $L_{n, \theta} \cap q \neq \emptyset$  and  $L_{n+1, \theta} \cap q \neq \emptyset$ . The according wedge splitting is the partition of  $q$  in two wedges  $\{w_{n, \theta}^1(q), w_{n, \theta}^2(q)\}$  defined by

$$\begin{cases} w_{n, \theta}^1(A) = \bigcup_{k \leq n} L_{k, \theta} \cap A \\ w_{n, \theta}^2(A) = \bigcup_{k > n} L_{k, \theta} \cap A \end{cases} .$$

Our description of discrete wedgelets is somewhat nonstandard due to the fact that we use a globally defined set of angles and lines. The advantage of our definition is that it allows the efficient solution of the key problem arising in rapid wedgelet approximation, namely the efficient computation of image mean values over wedges of varying shapes and sizes. In a sense, this latter problem is the only serious challenge that is left after we have translated the observations made for the continuous setting in Remark 1.1.2 to the discrete case: Again the minimization problem is reduced to finding the best wedgelet segmentation, and the recursive minimization procedure is fast, provided that for every dyadic interval the optimal wedgesplit is already known. This requires the computation of mean values, for large numbers of wedges, and here our definitions pay off.

In the following two subsections we give algorithms dealing with a somewhat more general model, replacing locally constant by locally polynomial approximation. In other words, we consider minimization (1.12) for functions  $g$  that are given by polynomials of fixed degree  $r$  on the elements of the segmentation. Thus the following also applies to the platelets introduced in [26]. The more general problem requires the computation of higher degree image moments over wedge domains, but is otherwise structurally quite similar to the original wedgelet problem. In principle this generalization is straightforward, but with preexisting techniques it was simply not computationally feasible. Our implementation, which can be downloaded from [25], allows to use models up to order two, in very reasonable computation time.

Subsection 1.2.1 sketches the key technique for moment computation. It relies on precomputed lookup-tables containing cumulative sums over certain image domains. The number of lookup-tables grows linearly with the number of angles in  $\Theta$  and the number of required moments. This way, the *angular resolution* of the discrete wedges can be prescribed in a direct and convenient way, and at linear cost, both computational and in terms of memory requirements. Subsection 1.2.2 contains a summary of the algorithm for the minimization of (1.12). For more details we refer to [10, 16].

### 1.2.1 Rapid summation on wedge domains: Discrete Green's theorem

As explained above, efficient wedgelet approximation requires the fast evaluation integrals of the form  $\int_w f(x, y) dx dy$ , over all admissible wedges  $w$ . For higher order models, image moments of the form  $\int_w f(x, y) x^i y^j dx dy$  need to be computed; for the discrete setting, the integral needs to be replaced by a sum. In the following we present a sketch of our techniques providing a fast solution to this problem.

For exposition purposes, let us first consider the continuous setup. We let  $\mathcal{Q}_+$  denote the positive quadrant,  $\mathcal{Q}_+ = \mathbb{R}_0^+ \times \mathbb{R}_0^+$ . Given  $z \in \mathcal{Q}_+$ , and  $\theta \in ]-\pi/2, \pi/2]$ , let  $S_\theta(z) = z + \mathbb{R}^-(\cos(\theta), \sin(\theta)) \cap \mathcal{Q}_+$ . Moreover, denote by  $\Omega_\theta(z) \subset \mathcal{Q}_+$  the domain that is bounded by the coordinate axes, the vertical line through  $z$ , and  $S_\theta(z)$ , see Figure 1.5. Define the auxiliary function  $K_\theta : \mathcal{Q}_+ \rightarrow \mathbb{R}$  as

$$K_\theta(z) = \int_{\Omega_\theta(z)} f(x, y) dx dy ; \quad (1.14)$$

note that this implies  $K_{\pi/2} = 0$ , as the integral over a set of measure zero.

Let us now consider a wedge of fixed shape, say a trapezoid  $w$ , with corners  $z_1, z_2, z_3, z_4$ , as shown in the right hand part of Figure 1.5. Then (1.14) implies that

$$\int_w f(x, y) dx dy = K_\theta(z_4) - K_\theta(z_3) - K_0(z_1) + K_0(z_2). \quad (1.15)$$

In order to see this, observe that  $w = \Omega_\theta(z_4) \setminus (\Omega_\theta(z_3) \cup \Omega_0(z_1))$ . Hence the integral over  $w$  is obtained by subtracting from  $K_\theta(z_4)$  the integrals over  $\Omega_\theta(z_3)$  and  $\Omega_0(z_1)$ , and then adding the part that is subtracted twice, i.e. the integral over  $\Omega_\theta(z_3) \cap \Omega_0(z_1) = \Omega_0(z_2)$ .

Note that the evaluation of the right-hand side of (1.15) involves only 4 operations, *supposing that  $K_\theta$  and  $K_0$  are known*. Similar results can then be obtained for the different kind of wedge domains arising in the general scheme, and more generally for all polygonal domains with boundary segments belonging to angles in  $\Theta$ . As a side remark, these considerations in fact just describe a special case of Green's theorem; see [10] for a more complete discussion of this connection.

The discrete implementation of (1.15) and related formulae is described in [10]. The discrete analogs  $K_\theta^d$  of the auxiliary functions can be stored in matrices of the same size as the image, and they are efficiently computable in linear time, by cumulative summation first in the vertical direction, and then along the lines  $L_{n,\theta}$ . As a main result of this discussion, we record:

**Theorem 1.2.3** *For any angle  $\theta \in ]-\pi/2, \pi/2]$ , the auxiliary matrix  $K_\theta^d$  is computable in  $O(2^{2J})$ . After computing  $K_\theta^d$  and  $K_0^d$ , the sum  $\sum_{(x,y) \in w} f(x,y)$  is obtainable using at most 6 operations, for every wedge domain  $w$  obtained by splitting a dyadic square along a line with angle  $\theta$ .*

### 1.2.2 Implementation

Now, combining Donoho's observations from Remark 1.1.2 with the techniques outlined in the previous section, we obtain the following algorithm for the minimization of (1.12). In the following,  $N$  denotes the number of pixels,  $N = 2^{2J}$ .

1. Compute the auxiliary matrices  $K_{\theta,i,j}^d$ , for all  $\theta \in \Theta$ , which are necessary for the computation of the moment of index  $i, j$  to be used in the next steps. Local regression of order  $r$  requires  $(r+1)(r+2)/2$  such moments. By the considerations in the previous subsection, the overall memory and time requirements for this computation step is therefore  $(r+1)(r+2)/2 \times N \times (a+1)$ , where  $a = |\Theta|$ .
2. For each dyadic square  $q$ , we need to select a best local wedge-regression model among the possible wedge splitting of this square. For each digital line  $l$ , we compute the  $(r+1)(r+2)/2$  moments in fixed time, using the appropriate version of (1.15). This allows to solve the corresponding regression problems over  $w_l^1$  and  $w_l^2$ , which requires  $O(r^3)$  flops. Finally, we compute the according discrete  $l^2$ -error. This procedure applies to the  $|\Theta|2^{j+1}$  admissible discrete lines passing through  $q$ .

For each  $q$ , we need then to store the line  $\hat{l}_{n_q, \theta_q}$  which corresponds to the minimal error, the associated two sets of optimal coefficients of the local regression models, and the incurred squared error  $E_q$ .

The whole procedure needs to be carried out for all  $\frac{2N-1}{3}$  dyadic squares.

3. Once Step 2. is finished, we are in a position to find out the wedgelet partition  $\widehat{W}_\lambda(f)$  which minimizes (1.12) for a given parameter  $\lambda$ , using the algorithm sketched in Remark 1.1.2. The algorithm runs through all dyadic squares, starting from the smallest ones, i.e. single pixels.

Hence, if we consider a dyadic square  $q$ , its children  $q_i$ ,  $i = 1, \dots, 4$  have already been treated, and we know an optimal partition for each  $q_i$ , denoted by  $\widehat{W}_\lambda(q_i)$ , and also the associated error  $E_{q_i, \lambda}$  and penalization  $\lambda|\widehat{W}_\lambda(q_i)|$ .

The optimal partition of  $A$  is then the solution to the comparison of two partitions,  $\widehat{W}(q)$  and  $\cup_{i=1}^4 \widehat{W}_\lambda(q_i)$ . The according error is given by

$$E_{q,\lambda} = \min\{E_q + \lambda|\widehat{W}(q)|, \sum_{i=1}^4 E_{q_i,\lambda}\} \quad , \quad (1.16)$$

and according to the result of the comparison, we store the corresponding optimal wedge partition  $\widehat{W}_{q,\lambda}$ . The process stops at the top level, yielding the minimizer  $(\widehat{g}_\lambda, \widehat{W}_\lambda)$ .

We summarize the results concerning the computational costs in the following proposition.

**Proposition 1.2.4** *Step 1. requires  $O(aNr^3)$  flops and a memory storage of  $O(aN^2r^3)$ . Step 2. also requires  $O(aNr^3)$  flops and a memory storage in  $O(rN)$ . Step 3. requires  $O(N)$  flops.*

The following observations are useful for fine-tuning the algorithm performance:

**Remark 1.2.5** (a) *In actual implementation, allocation for the auxiliary matrices storing  $K_\theta^d$  turns out to be a crucial factor. A closer inspection shows that in the steps 1. and 2., the angles in  $\Theta$  can be treated consecutively, thus reducing memory requirement to  $O(Nr^3)$ . This results in a considerable speedup.*

(b) *The use of a fixed set  $\Theta$  of angles for splitting dyadic squares of varying size is not very efficient: For small dyadic squares, a small difference in angles yields identical wedgesplits. Roughly speaking, a dyadic square of size  $2^j$  can resolve  $O(2^j)$  angles. It is possible to adapt the algorithm to this scaling. Note that this scale-dependent angular resolution is precisely the inverse of what is prescribed by Theorem 1.1.4. Numerical experiments, documented in [16, Sect.6.3], show that this scale dependent angular resolution leads to the same approximation rates as the use of a full set of  $2^j$  angles, valid for all scales.*

(c) *A further highly interesting property of the algorithm is the fact that only the last step uses the regularization parameter. Thus the results of the previous steps can be recycled, allowing fast access to  $(\widehat{g}_\lambda, \widehat{W}_\lambda)$  for arbitrary parameters  $\lambda$ .*

### 1.3 Digital Curvelets: Contourlets

The curvelet construction relies on features that are hard to transfer to the discrete setting, such as polar coordinates and rotation. Several approaches

to digital implementation have been developed since the first inception of curvelets, see e.g. [21, 12, 14]. In the following we present the approach introduced by Do and Vetterli [12], which to us seems to be the most promising among the currently available implementations, for various reasons: It is based on fast filterbank algorithms with perfect reconstruction; i.e., the tight frame property of curvelets is fully retained, in an algorithmically efficient manner. Moreover, the redundancy of the transform is 1.333, which is by far better than the factor  $16J+1$  ( $J$  = number of dyadic scales in the decomposition) reported in [21]. It is clear that from a coding perspective, redundancy is a critical issue.

The starting point for the construction of contourlets is the observation that computing curvelet coefficients can be broken down into the following three steps, compare Figure 1.3:

1. Bandpass filtering using the scale windows  $w_j$ .
2. Directional filtering using the angular windows  $\nu_{j,l}$ .
3. Subsampling using the grids  $\Gamma_{j,l}$ , resulting in a tight frame expansion with associated inversion formula.

The discrete implementation follows an analogous structure, see Figure 1.6.

1. The image is passed through a pyramid filterbank, yielding a sequence of bandpassed and subsampled images.
2. Directional filterbanks [2, 11], are applied to the difference images in the pyramid, yielding directionally filtered and critically subsampled difference images. The angular resolution is controlled in such a way as to approximate the scaling of the angular resolution prescribed for the curvelet system.
3. The directional filterbanks have an inherent subsampling scheme, that makes them orthogonal when employed with perfect reconstruction filters. Combining this with a perfect reconstruction pyramid filterbank, the whole system becomes a perfect reconstruction filterbank with a redundancy factor of 1.333 inherited from the pyramid filter.

The filterbank uses time-domain filtering, leading to linear complexity decomposition and reconstruction algorithms. The effect of combined bandpass and directional filtering can be inspected in a sample decomposition of a geometric test image in Figure 1.7. The filterbank implementation computes the coefficients of the input image with respect to a family of discrete curvelets or *contourlets*. A small sample of this family is depicted in Figure 1.8, showing that the anisotropic scaling properties of the continuous domain curvelet system are approximately preserved.

## 1.4 Experiments and coding

### 1.4.1 Approximation properties

We have conducted tests with real and artificial images to compare the different approximation schemes. We used standard test images, see Figure 1.10. The wavelet approximations were obtained by the standard matlab implementation, using the db4 filters. The contourlet approximations were obtained using the matlab contourlet toolbox [8], developed by Do and collaborators. For wedgelets we used our implementation available at [25].

A naive transferal of the approximation results obtained in Section 1.1 would suggest that the new schemes outperform wavelets in the high-bit-rate area, i.e., as the number of coefficients per pixel approaches 1. However, for all images, wavelets have superior approximation rates in these areas. By contrast, contourlets and wedgelets perform consistently better in the low-bit-rate area. Given the fact that the contourlet system has the handicap of a redundancy by a factor of 1.333, and the fact that the approximation is obtained by simple thresholding, the consistently good approximation behaviour of contourlets for extremely small numbers of coefficients is remarkable. Wedgelets, on the other hand, perform best when dealing with images that are of a predominantly geometric nature, such as the cameraman, or the circles. This of course was to be expected. Similarly, the trouble of wedgelets in dealing with textured regions could be predicted beforehand. By contrast, contourlets also manage to represent textured regions to some accuracy, as can be seen in the nonlinear approximation plot for the Barbara image, and in the reconstructions of Baboon and Barbara in Figures 1.12 and 1.13.

Clearly PSNR is not the only indicator of visual quality. Figures 1.12 and 1.13 present reconstructions of our sample images using 0.01 coefficients per pixel. We already remarked that contourlets are superior to wedgelets when it comes to approximating textured regions (cf. Baboon, Barbara). On the other hand, contourlets produce wavelet-like ringing artefacts around sharp edges (cf. Cameraman, Circles). Here wedgelets produce superior results, both visually and in terms of PSNR. As a general rule, the artefacts due to contourlet truncation are visually quite similar to wavelet artefacts. On the other hand, typical wedgelet artefacts come in the form of clearly discernible edges or quantization effects in the representation of color gradients. To some extent, these effects can be ameliorated by employing a higher order system, such as platelets.

Summarizing the discussion, the results suggest that contourlets and wedgelets show improved approximation behaviour in low-bit-rate areas. Here the improvement is consistent, and somewhat contradictory to the theoretical results which motivated the design of these systems in the first

place. Both contourlets and wedgelets are able to well represent the coarse-scale geometric structures inherent in the image. As more and more details are required, wedgelets fail to efficiently represent textured regions, while in the contourlet case the overall redundancy of the contourlet system increasingly deteriorates the performance.

### 1.4.2 Application to Compression

The capacity to achieve high theoretical rates of approximation is an important indicator of the potential of the geometrical methods in the field of image compression. It appears, indeed, that in the case of approximation of two-dimensional locally smooth functions with regular boundaries, the rates obtained with wedgelet models are of higher order than those induced by the classical decomposition framework (Fourier decompositions, wavelet frames). In particular, classical orthogonal or biorthogonal wavelets were designed for the optimality in the one-dimensional case. The construction of bidimensional tensor product wavelets only achieves suboptimal approximation rates for the representation of sharp edges.

As we have already remarked on various occasions, it remains an open question to decide whether these approximation rates constitute an adequate framework for the case of compression of discrete images. The experiments in Subsection 1.4.1 confirm that due to the discretization effects, the theoretical approximation rates are not observed in practice, even for reasonably big sizes of images. On the other hand, for very low bitrates (i.e. few coefficients), where the discretization effect is negligible, the asymptotical rates do not bring a very relevant information. It is also obvious that the choice of the  $L^2$ -error for measuring the distortion also leads to some undesired artefacts. For instance, this kind of measure also incorporates some noise inherent to natural images [7], and is thus poorly adapted to the human visual systems.

The use of wedgelets in the frame of image compression is mainly due to the work of Wakin [22, 24]. The first attempts are based on a model mixing cartoon and texture coding [23]. More than for its efficiency, this method is interesting for the sake of understanding the difficulties occurring in the coding of wedge representations of images. The heuristic behind this method consists in considering a natural image as the sum of two components, one containing the textures, the other one corresponding to a simple edge cartoon model, containing only the sharp edge information. Then, a separated coding of each component is performed. The cartoon component is treated with the help of wedgelet approximation, whereas the residual error image inferred from this first approximation is coded with wavelets in a classical way. On the following, we focus on the coding of the

tree-based wedgelet representation of the cartoon component. The decoder needs to know the structure of the tree (a node of the tree can be either a square leaf, a wedge leaf or subdivided), the wedge parameters for the wedge leaves, and the corresponding quantized optimized constant values for the selected wedges and squares.

Such a *naive coding* of a wedgelet decomposition avoids most ringing artefacts around the edges, but still remains inferior to wavelet coding (like JPEG2000) in terms of PSNR, mainly because it does not model the dependencies between neighbouring coefficients, and also because of redundancies between wavelet and wedgelet representations, inherent to this scheme.

For the problem of dependencies between different edges, a possible modelling is the MGM (Multiscale Geometry Model). It relies on a kind of multiscale Markov model for the structural dependencies between wedge orientations and positions; indeed they make use of the probabilities of an angle in a child dyadic square to be selected, conditionally to the optimal angle selected in the parent dyadic square. Note that this model only takes into account the Hausdorff distance between the parents-lines and the children lines. In other words it does not adapt to an image contents, but it rather is based on an *ad hoc* assumption concerning the correlation between geometrical structure of parents and children dyadic squares. This joint coding of the wedge parameters allows significant coding gains when compared to an independent coding.

Deriving an efficient compression scheme depends also on the possibility to prune the tree adequately. In (1.12), the penalization used for the pruning of the tree corresponds to a balance between the distortion in the reconstruction of the image and the complexity of the model measured by the number of pieces retained for the representation of the image. In the compression context, it is interesting to consider a modified penalization, which takes into account the coding cost. The problem reduces then to the minimization of a functional of the form

$$H_{\lambda,f}(g, W) = \|f - g\|_2^2 + \lambda[-\log(P(W))] ,$$

where  $P$  is an entropy measure depending on some probabilistic assumptions on the data. This measure is used for evaluating the amount of bits required for the coding of the wedge tree and parameters information.

The most interesting method is the W-SFQ (Wedgelet- Space Frequency Quantization) compression scheme proposed in [24] and based on the use of wedgeprints. The main difference with the previous scheme consists in acting directly in the wavelet coefficients domain, instead of the image domain. The method is mainly an enhancement of the SFQ scheme, which



was originally proposed in [27]. SFQ is a zerotree coding where the coefficients clustering are optimized according to a rate-distortion criterion. It is a very efficient method outperforming the standard SPIHT in many cases, especially for low bitrates. It still suffers, however, from the limits of zerotree wavelet coders for the coding of significant wavelet coefficients along edges.

Whereas SFQ considers two possibility for a coded node, either being a zerotree (all its descendants being considered insignificant) or a significant, coded coefficient, W-SFQ introduces a third possibility, a node can be a wedge, the wavelet coefficients descendants of this node being evaluated from the optimal wedge function. In other words, W-SFQ is a zerotree where the clustering of coefficients is more suited to geometry, with the help of wedge functions. This clustering together with the associated function is also called wedgeprint. Despite the high coding cost of the wedges, the coherence is ensured by the rate-distortion optimization: a wedge is only chosen when its cost remains low towards the gain in distortion. In [24], an enhanced version of this coding scheme is proposed with some additional ingredients, allowing larger wedgeprints,

- the use of the MGM model which is an efficient tool to code efficiently deeper wedge tilings; a more accurate description of the geometry of a contour than with a single wedgeprint is possible;
- a smoothing parameter for the edges which takes into account blurring artefacts in original image, due to pixelization;
- a specific coding for textures.

With this enhanced version, encouraging results were obtained for some natural images with poor texture contents (and hence closer to the cartoon model). For instance, W-SFQ outperforms SFQ at very low bitrate, for some natural images.

Note that the MGM model in this context remains relatively rudimentary. Indeed it is a non adaptive model. Furthermore there is only up to bottom correlation (between son and children). One could probably expect improved compression rates with the help of a modelling of the spatial correlations between wedge parameters of neighbouring wedgeprints.

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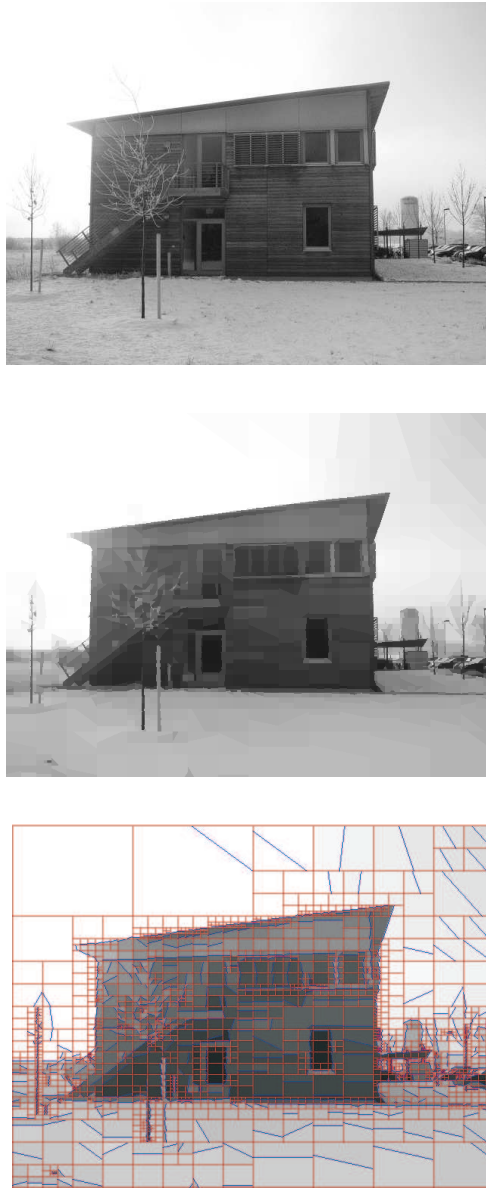


Figure 1.2: IBB North. (a) Original image, (b) wedge reconstruction  $\lambda = 0.012$ , and (c) with corresponding wedge grid superimposed.

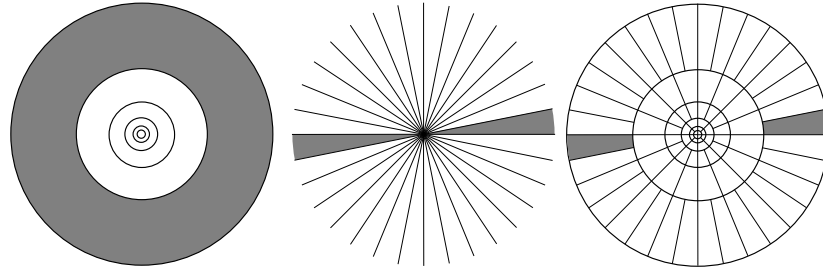


Figure 1.3: Idealized frequency response of the curvelet system. Filtering using the scale window  $w_j$ , followed by filtering with the angular window  $\nu_{j,l}$ , yields the frequency localization inside the wedge  $W_{j,l}$ . Observe the scaling of the angular resolution, which doubles at every other dyadic step.

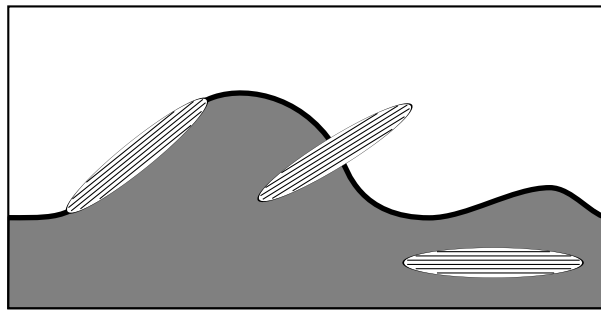


Figure 1.4: A sketch of the three types of curvelet coefficients, where the essential supports of the curvelets are shown as ellipses, with indicated oscillatory behaviour. From left to right tangential, transversal and disjoint case.

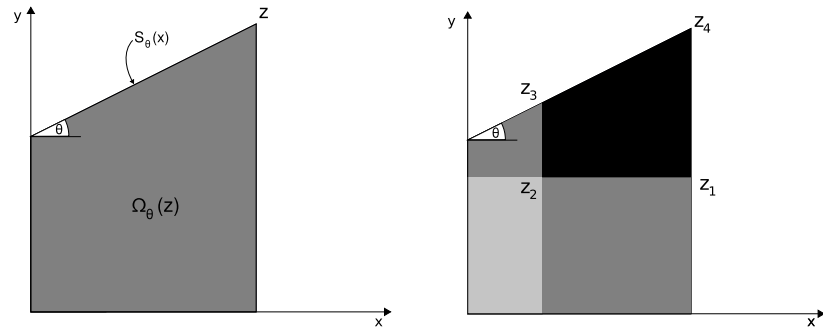


Figure 1.5: Left: The sets  $S_\theta(z)$  and  $\Omega_\theta(z)$ . Right: An illustration of the argument proving (1.15).

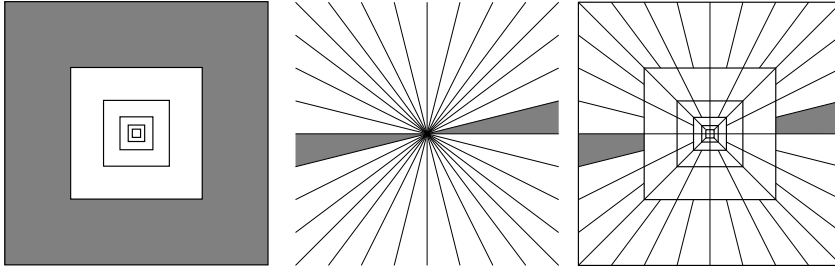


Figure 1.6: Idealized frequency response of the contourlet system. The scaling of the angular resolution is controlled by employing a suitable directional filterbank.

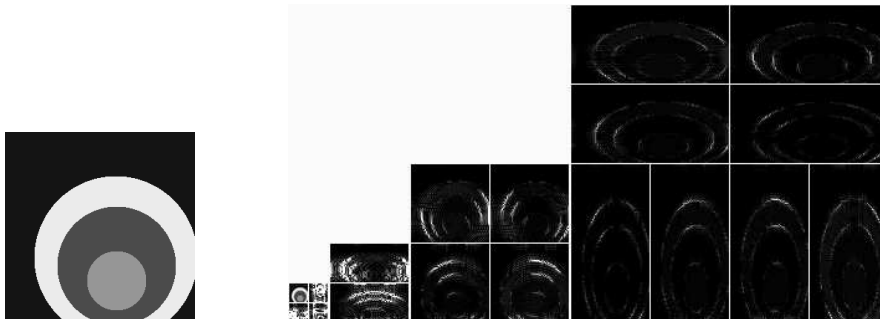


Figure 1.7: Sample image Circles, decomposed by subsequent bandpass and directional filtering.

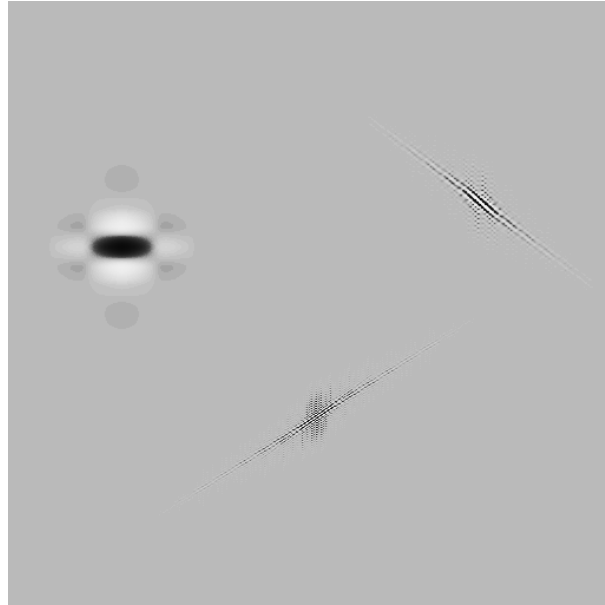


Figure 1.8: A sample of three contourlets of different scales and orientations; the grey-scale is manipulated to improve visibility of the different contourlets. Observe the change in aspect ratios as the scale decreases.

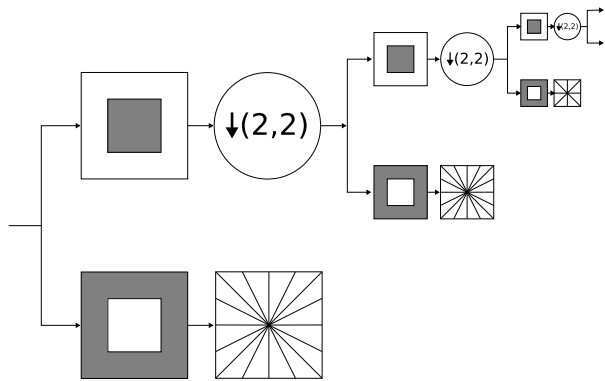


Figure 1.9: Structure of the contourlet decomposition.





Figure 1.10: Test images; the usual suspects: Barbara ( $512 \times 512$ ), Peppers ( $512 \times 512$ ), Cameraman ( $256 \times 256$ ), Baboon ( $512 \times 512$ ) and Circles ( $256 \times 256$ )

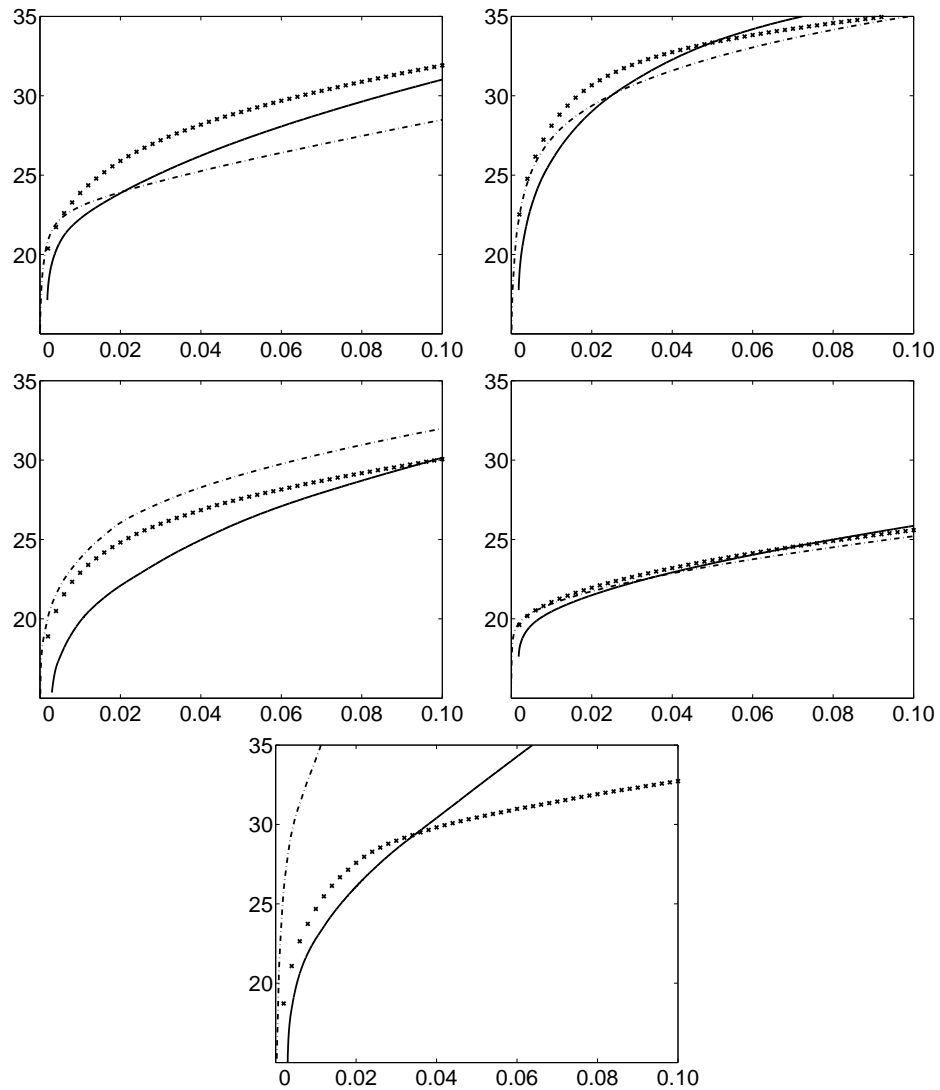


Figure 1.11: Nonlinear approximation behaviour, visualized by plotting coefficients per pixels against PSNR (db). We compare wavelets (solid), contourlets (crosses) and wedgelets (dashed), corresponding to the images, from top left to bottom, Barbara ( $512 \times 512$ ), Peppers ( $512 \times 512$ ), Cameraman ( $256 \times 256$ ), Baboon ( $512 \times 512$ ) and Circles ( $256 \times 256$ ).



Figure 1.12: Sample reconstructions using 0.01 coefficients per pixel, for contourlets (left) and wedgelets (right). Top row: Barbara, with contourlets: 23.89 db, wedgelets: 23.02 db; middle row: Peppers, with contourlets: 28.12, wedgelets: 27.84 db; bottom row: Cameraman, with contourlets: 22.90 db, wedgelets: 23.82 db

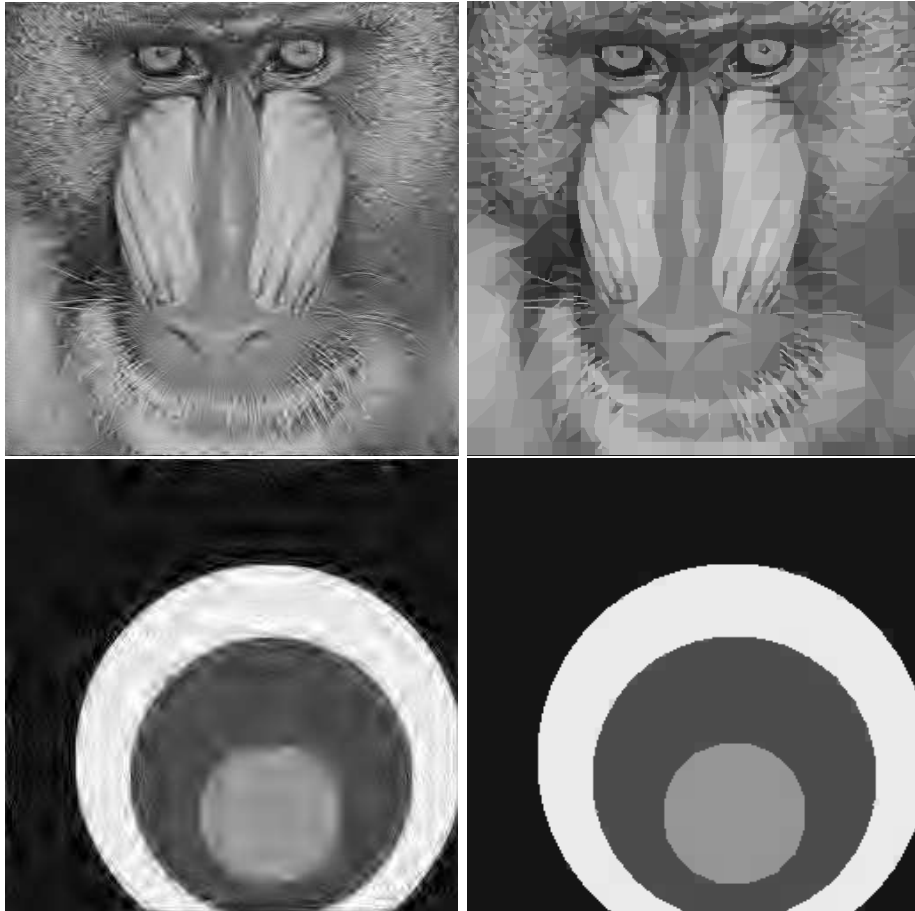


Figure 1.13: Sample reconstructions using 0.01 coefficients per pixel, for contourlets (left) and wedgelets (right). Top row: Baboon, with contourlets: 21.05 db, wedgelets: 20.89 db; bottom row: Circles, with contourlets: 26.56 db, wedgelets: 34.12 db